Self-Certification Form for Tax Residency Status - Individuals

Customer Information Notice

In line with the OECD Common Reporting Standard (CRS), Financial Institutions are obliged to collect and report certain information of their Policyholders. Under CRS the Policyholder's information is to be reported to the relevant tax authority where the account is held, which, if a different country to that in which the Policyholder resides, will be shared with the relevant tax authority of the Policyholder's resident country, if that is a CRS-participating jurisdiction.

Information that may be reported includes name, address, date of birth, account balance, any payments including redemption and dividend/interest payments, Tax Residency and TIN(s), Controlling Person.

You can find more information on *https://www.revenue.ie/en/business/aeoi/index.html*.

Please note if you are making a declaration on behalf of an Entity, you must complete a different form (for Legal entities) which is available on our website *https://www.youplus.li/en/about-youplus/downloadcenter*

Tax residency

Your tax residence generally is the country in which you live for more than half a year. Special circumstances (such as studying abroad, working overseas, or extended travel, place, or date of birth in the US) may cause you to be resident elsewhere or resident in more than one country at the same time (dual tax residency). The country/countries in which you are a general taxpayer is/are likely to be your country/countries of tax residence.

According to US tax law the term US-person means any of the following:

- Citizens of the United States. Dual citizens of the United States and another jurisdiction (note: US citizenship is generally acquired upon birth in the United States or on U.S. territory and remains valid unless revoked or in case of other special circumstances, e.g. children of diplomats);
- Legal permanent residents of the United States at any time during the current calendar year (e.g. Green Card holders);
- Persons meeting the „substantial physical presence requirements“ (persons, who have spent more than 31 days of the current year and 183 days in total in the United States, counting all days of presence within the current year, 1/3 of the days in the previous year and 1/6 of the days in the penultimate year); or
- Persons subject to U.S. tax for any other reasons.

If you are not sure if you meet one or more of these conditions, **we recommend consulting your legal or tax advisor**.

Documents

For US-persons please enclose:

- W-9 form
- form FinCEN Report 114 (former FBAR) and form 8938 (statement of specified foreign financial assets) for the most recent tax year concerning the policy

YOUPLUS Assurance AG (Irish Branch)
15 Harcourt Street, Dublin 2
+353 (0) 1 685 54 04
info@youplus.li

Self - Certification

Irrespective of whether you are a US-person or not we kindly ask you to complete this self-certification. Please note that in situations where there are joint Policyholders, each Policyholder must complete their own copy of this form.

Policy number: ____

First and last name: ____

Date of birth: ____

Country of birth: ____

Residential address
(include postcode & country): ____

Tax Residency

Are you a U.S. Person? ☐ Yes ☐ No

Please list the country or countries in which you are resident for tax purposes, together with any Tax Reference number(s)/Tax Identification Number(s) ("TIN"), if available:

Country of Tax Residency	TIN	Reason why no TIN provided (indicate A, B or C from below)

If a TIN is unavailable, please indicate why using one of the following explanations:

A. The country/jurisdiction where the person is resident does not issue TINS or TIN equivalents to its residents
B. The Policyholder is otherwise unable to obtain a TIN (also provide explanation in the box above)
C. The domestic law of the country/territory of tax residency does not require the collection of a TIN

Please return this form together with all the required documents to YOUPLUS Assurance AG (Irish Branch), 15 Harcourt Street, Dublin 2, Ireland.

If you have any questions on how to complete this form, we **recommend that you speak to your tax or legal adviser.**

The policyholder(s) and the payment recipient are aware that YOUPLUS Assurance AG (Irish Branch) is obliged to submit the personal data relevant for the FATCA-agreement between the USA and Ireland (e.g. name, address, TIN) and for any other intergovernmental agreements like CRS to the competent authority in Ireland, which will forward these data to the tax authorities in the respective countries. In this respect and to this extent YOUPLUS Assurance AG (Irish branch) is exempt from the insurance secrecy.

Declarations and Undertakings

I declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete.

I undertake to advise the recipient promptly and provide an updated Self-Certification form within 30 days where any changes in circumstances occurs which causes any of the information contained in this form to be incorrect.

______________________ ______________________ ______________________
Place Date Signature (handwritten signature required)

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